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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 29, 2004.

David J. Bailey

Confirmation No. 1968

Applicant

Robert S. DeLand, Jr and Robert E. Morley, Jr. (Amend)

Application No.:

09/324,741~

Filed

June 3, 1999

Title

MAGNETIC STRIPE CARD VERIFICATION

SYSTEM

Grp./Div.

2827

Examiner

Tremblay, Mark Stephen

Docket No.

39210/DJB/M743

Customer No.

23363

### PETITION UNDER 37 C.F.R. § 1.324 TO CORRECT INVENTORSHIP

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Post Office Box 7068 Pasadena, CA 91109-7068 October 29, 2004

#### Commissioner:

Applicant hereby petitions to correct the inventorship of the above-identified application. Originally, the named inventor in this application was Robert S. DeLand, Jr. The fact that Robert E. Morley, Jr. was erroneously omitted as an inventor was recently discovered. The error occurred without deceptive intent.

In accordance with 37 C.F.R. §1.324, applicant submits the following documents in support of the petition to correct inventorship:

- 1. a Declaration signed by Robert S. DeLand, Jr. stating agreement to the change in inventorship;
- 2. a Declaration signed by Robert E. Morley, Jr. stating that the inventorship error occurred without deceptive intention;
- 3. a Supplemental Declaration signed by Robert S. DeLand, Jr. and Robert E. Morley, Jr.;
- 4. a Statement of Consent to this request for correction of inventorship by Mag-Tek, Inc., which is the assignee of the rights of Robert S. DeLand, Jr.;
- 5. an executed Assignment by Robert E. Morley, Jr. to Washington University; and

11/03/2004 WABDELR1 00000002 09324741

### **Application No. 09/120,816**

- 6. a Statement of Consent to this request for correction of inventorship by Washington University, which is the assignee of the rights of Robert E. Morley, Jr.; and
- 7. a check for the petition fee of \$130.00 as set forth in \$1.20(b).

Accordingly, applicant requests that the Commissioner issue a new filing receipt naming Robert S. DeLand, Jr., and Robert E. Morley, Jr. as the actual inventors of U.S. Patent Application Serial No. 09/324,741. A copy of this letter is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

David J. Bailey

Limited Recognition Under 37 CFR § 11.9(b)

626/795-9900

DJB/djb

Enclosures:

Declaration of Robert S. DeLand, Jr.

Declaration of Robert E. Morley, Jr.

Supplemental Declaration

Consent of Assignee (Mag-Tek, Inc.) to Request for Correction of Inventorship

Consent of Assignee (Washington University) to Request for Correction of Inventorship

Assignment

Check for \$130.00

SYB IRV1070599.1-\*-10/29/04 8:06 AM

olicant : Robert S. DeLand, Jr. and

Robert E. Morley, Jr. (Amend)

Application No. : 09/324,741 Filed : June 3, 1999

Title : Magnetic Stripe Card Verification System

Grp./Div. : 2827

Examiner : Tremblay, Mark Stephen

Docket No. : 39210/RAG/M743

## DECLARATION OF ROBERT S. DELAND, JR.

I am an inventor currently named on the above identified patent and I understand that Robert E. Morley, Jr. is being added as an additional inventor. I agree with this correction.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date 3-4-2004

By Robert S. DeLand, Jr.

DJB/djb LLW PAS235869.1-\*-11/3/03 9:08 AM



Applicant : Robert S. DeLand, Jr. and

Robert E. Morley, Jr. (Amend)

Application No.: 09/324,741 Filed: June 3, 1999

Title : Magnetic Stripe Card Verification System

Grp./Div. : 2827

Examiner : Tremblay, Mark Stephen

Docket No. : 39210/RAG/M743

#### DECLARATION OF ROBERT E. MORLEY, JR.

I am being added as an inventor in the above identified patent because I was erroneously omitted as an inventor when the application which gave rise to the patent was filed. I agree with this correction. The inventorship error occurred without deceptive intention on my part.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date 6/15/04 By Robe

Robert E. Morley, Jr.

DJB/djb

LLW PAS235869.1-\*-11/3/03 9:06 AM



Applicant

Robert S. Deland, Jr.

Application No.:

09/324,741

Filed

June 3, 1999

Title

MAGNETIC STRIPE AUTHENTICATION AND

**VERIFICATION SYSTEM** 

Grp./Div.

2827

Examiner

Tremblay, Mark Stephen

Docket No.

39210/RAG/M743

#### SUPPLEMENTAL DECLARATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Post Office Box 7068 Pasadena, CA 91109-7068

#### Commissioner:

As the inventors named in the above-identified application, we declare that we believe we are the original and first inventors of the invention claimed in the above-identified patent application including the claims attached hereto. We have reviewed and understand the contents of the specification including the claims. We acknowledge the duty to disclose the information which is material to the patentability of this application in accordance with 37 CFR § 1.56(a).

### **Application No. 09/324,741**

The undersigned inventors declare that all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date <u>03-64-2004</u>

Name: Robert S. DeLand, Jr.

Address: 4622 Bindewald Road, Torrance, CA

Citizenship: U.S. Citizen

Date 06/15/04

Name: Robert E. Morley, Ir.

Address: 7281 Greenway Ave, St. Louis, MO

Citizenship: U.S. Citizen

DJB/djb

SYB IRV1070595.1-\*-10/24/03 10:03 AM



licant : Robert S. DeLand, Jr. and

Robert E. Morley, Jr. (Amend)

Application No. : 09/324,741 Filed : June 3, 1999

Title : Magnetic Stripe Card Verification System

Grp./Div. : 2827

Examiner : Tremblay, Mark Stephen

Docket No. : 39210/RAG/M743

# CONSENT OF ASSIGNEE TO REQUEST FOR CORRECTION OF INVENTORSHIP

The rights of Robert S. DeLand, Jr. in the above referenced patent application are assigned to Mag-Tek, Inc. by an assignment recorded in the U.S. Patent and Trademark Office on September 7, 1999, at Reel 010243, Frame 0260 correcting an assignment recorded at Reel 010026, Frame 0244. I have the authority to act on behalf of the assignee, Mag-Tek, Inc., which hereby consents to the request for correction of inventorship submitted herewith.

Mag-Tek, Inc.

Date 3.4. 2004

Ву

Name: ANN WAR & D HAR

Title: PRESIDENT

KM/llw

LLW PAS235940.1-\*-11/3/03 9:08 AM

### ASSIGNMENT

WHEREAS, I, ROBERT E. MORLEY, JR., residing at 7281 Greenway Avenue, St. Louis, Missouri 63130, have invented certain new and useful improvements disclosed in an application for United States Letters Patent entitled MAGNETIC STRIPE AUTHENTICATION AND VERIFICATION SYSTEM, filed June 3, 1999, Application No. 09/324,741.

AND WHEREAS WASHINGTON UNIVERSITY, a corporation organized and existing under the laws of the State of Missouri and having a principal office at One Brookings Drive, St. Louis, Missouri, (hereafter, together with any successors, legal representatives or assigns thereof, called "ASSIGNEE") wants to acquire the entire right, title and interest in and to said improvements and application.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to ASSIGNEE the entire right, title and interest in and to said improvements, and said application and all divisions and continuations thereof, and all United States Letters Patents which may be granted thereon and all reissues, reexaminations and extensions thereof, and all priority rights under all available International Agreements, Treaties and Conventions for the protection of intellectual property in its various forms in every participating country, and all applications for patents (including related rights such as utility-model registrations, inventor's certificates, and the like) heretofore or hereafter filed for said improvements in any foreign countries, and all patents (including all continuations, divisions, extensions, renewals, substitutes, and reissues thereof) granted for said improvements in any foreign countries; and I hereby authorize and request the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said improvements to ASSIGNEE in accordance with the terms of this Assignment;

AND I HEREBY covenant that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY further covenant and agree that I will communicate to ASSIGNEE any facts known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute and reissue applications, make all rightful oaths and generally do everything possible to aid ASSIGNEE to obtain and enforce proper patent protection for said improvements in all countries.

ASSIGNMENT Docket No. 39210/RAG/M743

IN TESTIMONY WHEREOF, I	nereunto set m	y hand this <u>/54</u>	day of _	June	, 20 <u>04</u> .
		RYM	lorley	4	
		Robert E. I	Morley,/Jr.,	// /	,
STATE OF	)	$\mathcal{M}_{\mathbf{A}}$	1. 1	7	
COUNTY OF	)	(X/M	iley ()	2	
On 15 June 2004	_, before me _	Damon -	John		Notary Public,
personally appeared Robert E. M.	<mark>forley, Jr.</mark> pers	sonally known to	me (or pro	ved to me	on the basis of
satisfactory evidence) to be the	person whose	name is subscri	ibed to the	within in	strument and
acknowledged to me that he execu	ited the same i	n his authorized c	apacity, an	d that by h	is signature on
the instrument the person, or the e	entity upon beh	alf of which the pe	erson acted,	executed t	he instrument.
		WITNESS	my hand a	and official	seal.
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DAMON JOHN HALL PUBLIC NOTARY

Signature of Notary





Applicant : Robert S. DeLand, Jr. and

Robert E. Morley, Jr. (Amend)

Application No.: 09/324,741 Filed: June 3, 1999

Title : Magnetic Stripe Card Verification System

Grp./Div. : 2827

Examiner : Tremblay, Mark Stephen

Docket No. : 39210/RAG/M743

# CONSENT OF ASSIGNEE TO REQUEST FOR CORRECTION OF INVENTORSHIP

The rights of Robert E. Morley, Jr. in the above identified patent are assigned to Washington University, a corporation duly organized and existing under the laws of the State of Missouri and having a principal office at One Brookings Drive, St. Louis, Missouri, by an assignment submitted herewith. I have the authority to act on behalf of the assignee, Washington University, which consents to the request for correction of inventorship submitted herewith.

Washington University

Date	10	//4	/υ <i>Ψ</i>	

Name

Tit1e:

Michael G. Douglas, Ph.D Associate Vice Chancellor Technology Management

Washington University Saint Louis

KM/11w

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# BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE UNITED STATE PATENT AND TRADEMARK OFFICE

# LIMITED RECOGNITION UNDER 37 CFR § 11.9(b)

David J. Bailey is hereby given limited recognition under 37 CFR § 11.9(b) as an employee of Christie, Parker & Hale LLP to prepare and prosecute patent applications wherein the patent applicant is a client of Christie, Parker & Hale LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of Christie, Parker & Hale LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) David J. Bailey ceases to lawfully reside in the United States, (ii) David J. Bailey's employment with Christie, Parker & Hale LLP ceases or is terminated, or (iii) David J. Bailey ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: August 15, 2006

Harry I. Moatz

Director of Enrollment and Discipline